

Medicare Risk Adjustment Provider Documentation and Coding Guide

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Medicare Risk Adjustment

Medicare Risk Adjustment (MRA) is a methodology used by the Centers for Medicare and Medicaid Services (CMS) to pay Medicare Advantage Organizations (MAOs) more accurately for the projected healthcare expenditures of their members by adjusting reimbursement based on demographic information as well as the health status of those members. Using the CMS Hierarchical Condition Category (CMS-HCC) risk adjustment model, reimbursement to MAOs is higher for members with greater disease burden and lower for healthier members to more aptly align with projected costs of care.

The International Classification of Disease, Tenth Edition, Clinical Modification (ICD-10-CM) and Risk Adjustment

The CMS-HCC model uses certain ICD-10-CM diagnosis codes reported by providers to calculate risk adjusted reimbursement. Assigned codes not only reflect the member's diagnoses as evaluated and documented but also determine, along with demographic factors, their health status and risk of morbidity and mortality. For risk adjustment purposes, CMS will only accept ICD-10-CM diagnosis codes reported by approved provider specialty types and based on encounters in approved settings; such settings include face-to-face encounters in some inpatient and outpatient facilities. The CMS face-to-face requirement is met if the encounter occurs in-person or via telehealth (for example, real-time, simultaneous interactive audio and video telecommunications system).¹ Refer to the appendix for a complete listing of providers and facilities approved for submitting risk adjustment data.

ICD-10-CM: Impact on Documentation and Coding

ICD-10-CM classification brought about increased specificity in the coding system with a more logical structure and clinical accuracy. ICD-10-CM introduced to its code set the concepts of laterality and anatomical site and location. Documenting the episode of care such as initial, active care, subsequent episodes of care, and sequelae from injuries or disease is also an ICD-10-CM documentation and coding concept. The assignment of a diagnosis code is based on the provider's clinical expertise and diagnostic statement.

When a conclusive diagnosis has not been established by the end of the visit, it is appropriate to report codes for sign(s) and/or symptom(s) as a substitute for a definitive diagnosis. If the clinical information is insufficient, unknown, or unavailable when assigning a specific code for a disorder, it is acceptable to report the proper unspecified code. It is inappropriate to select a more specific code that is not supported by the medical record documentation.

Documentation Best Practices

To ensure that accurate and complete diagnosis data is being reported, it is important that provider documentation is thorough and specific. Coders can only assign a diagnosis code based on the information documented within the medical record. To code to the highest level of specificity, in compliance with ICD-10-CM guidelines, the documentation must be all of the following:

- Clear
- Concise
- Correct
- Complete
- Comprehensive

The ICD-10-CM guidelines state, *Code all documented conditions which coexist at the time of the visit that require or affect patient care or treatment.*² When documenting, providers should take the following into consideration:

- Each encounter in the medical record should contain:
 - Date of service on each page;
 - Patient's complete name plus a second identifier, such as date of birth or medical record number;
 - Provider's name, signature, credentials, and date signed;
 - Handwriting that is legible (to someone else); and
 - Only industry standard abbreviations.
- Each medical condition addressed during the encounter should include a statement indicating the impact to patient care, treatment, and/or management.
- At a minimum, include a brief statement that updates the status of each diagnosis.
- Medications may suggest the presence of a condition, but a diagnosis cannot be assumed based on medications.
 - Make sure that for every medication prescribed, a diagnosis is listed and addressed in the medical record while specifying for which condition the medication is being prescribed.
- Document at least once a year:
 - Chronic conditions (such as congestive heart failure, chronic obstructive pulmonary disease, and diabetes mellitus) that require ongoing treatment and monitoring
 - Active status conditions (such as amputations and ostomies)
 - Historic conditions that may no longer exist, yet have the potential for reoccurrence requiring continued monitoring
 - All conditions that impact patient care, treatment, and/or management

- Be specific, for example:
 - Include the recurrence and severity of major depression
 - Include whether bronchitis is acute or chronic
 - Specify the cardiac arrhythmia such as atrial fibrillation or atrial flutter
 - When clinical criteria are present to support it, document *malnutrition* instead of *loss of weight*
 - Use words to describe the status of conditions. For example,
 - Hypertensive heart disease is stable
- Use linking language to establish a causal relationship between two conditions. For example:
 - Diabetic neuropathy, neuropathy *due to* diabetes mellitus (DM), or neuropathy caused by DM
- Use descriptive words and phrases to add specificity, such as acute, chronic, in remission, exacerbation, stable, or compensated
- Only use the words *history of* to describe conditions that no longer exist. Be mindful of the timing, especially of acute conditions, for example:
 - Document *history of myocardial infarction (MI)* instead of *MI* after 4 weeks post onset
 - Document *history of malignant neoplasm* after all treatment is complete
 - Document *history of transient ischemic attack (TIA)* or *history of cerebral infarction* and whether the patient has any residual deficits instead of *CVA* after the patient leaves the hospital and is seen in follow-up
- Do not use the words *history of* to describe active, chronic conditions. For example:
 - Document *chronic, stable COPD* instead of *history of COPD*
 - Document *controlled type 2 diabetes mellitus* instead of *history of type 2 diabetes mellitus*
- Avoid entering conflicting information in the medical record. For example:
 - Documenting a final diagnosis of *hemiplegia* in the assessment with a physical exam finding of *5/5 strength in all four extremities*

Provider Signature Requirements in the Medical Record

All provider documentation, including progress notes, must be signed by the provider rendering the services. The provider must sign all progress notes with their name and credentials as part of their signature. Best practice is to also include the provider's printed name and credentials on any pre-printed note or stationery. Stamped signatures are not acceptable, effective April 28, 2008.

Electronic signatures are an acceptable form of medical record authentication so long as the system requires the provider to authenticate the signature at the end of each note. Examples of acceptable signatures include: Electronically signed, Authenticated by, Signed by, Validated by, Approved by, or Sealed by. The signed EMR record must be dated within 180 calendar days of the encounter and closed to all changes.³

¹ The CMS face-to-face requirement is met if the encounter occurs (i) in-person between a patient and acceptable provider type or (ii) via telehealth (i.e., real-time, simultaneous interactive audio and video telecommunications system). April 10, 2020 CMS HPMS Memo; April 29, 2020 CMS Stakeholder Call; Jan. 15, 2021 CMS HPMS Memo

² ICD-10-CM Official Guidelines for Coding and Reporting, Section IV.4.J Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

³ Contract-Level 15 Risk Adjustment Data Validation Medical Record Reviewer Guidance. In effect as of 01/10/2020, Version 2.0. Retrieved May 2021: [cms.gov/files/document/medical-record-reviewer-guidance-january-2020.pdf](https://www.cms.gov/files/document/medical-record-reviewer-guidance-january-2020.pdf)

Disease-Specific Documentation for Common Conditions

In addition to general documentation best practices, there are disease-specific documentation and coding best practices for common conditions.

The next section will cover some of the more common conditions, such as:

- Human Immunodeficiency Virus Infection
- Neoplasms
- Diabetes Mellitus
- Body Mass Index
- Dementia
- Substance Use, Abuse, or Dependence
- Major Depressive Disorder
- Schizophrenia
- Atherosclerotic Heart Disease
- Myocardial Infarction
- Cardiac Arrhythmias
- Congestive Heart Failure
- Stroke
- Vascular Disease
- Chronic Obstructive Pulmonary Disease
- Pressure Ulcers
- Rheumatoid Arthritis
- Fractures
- Chronic Kidney Disease

Human Immunodeficiency Virus Infection

Documentation Guidance

When documenting human immunodeficiency virus (HIV) infection, include:

- Status, such as positive HIV status, asymptomatic, exposure
- Symptom or disease related to the HIV infection, such as opportunistic infections and malignancies
 - Document the link between HIV and HIV-related illness
- Any current treatment

Document positive HIV status if the patient tests positive for HIV but has no symptoms. This should be documented on any future visits despite the persistent absence of symptoms.

When the patient meets the clinical definition of acquired immunodeficiency syndrome (AIDS), either based on a low CD4 count or an AIDS-defining condition (such as opportunistic infection or Kaposi sarcoma), AIDS or HIV disease should be documented. The provider must expressly document the link between HIV and any condition deemed to be an HIV-related illness.

Once a patient is diagnosed with AIDS (HIV disease), this should be documented in all subsequent encounters. They are no longer considered to have asymptomatic HIV status even if they become asymptomatic in the future as a result of treatment.

In addition, all AIDS-defining conditions present at the time of the evaluation must be addressed and documented separately. Also include in the documentation whether the patient is under the care of an infectious disease specialist.

In patients without a prior diagnosis of HIV or AIDS, document any confirmed or suspected exposure to HIV when testing results are pending or not available.

Coding Guidance

Coders are instructed to only code confirmed cases of HIV. Per ICD-10-CM guidelines, confirmation does not require documentation of positive serology or culture for HIV. Instead the provider's diagnostic statement that the patient is HIV positive or has an HIV-related illness is sufficient to assign a confirmed diagnosis of HIV. If the patient is HIV positive without any documentation of symptoms or HIV-related illness, then use Z21, Asymptomatic human immunodeficiency virus [HIV] infection status. Patients with inconclusive HIV serology and no definitive diagnosis or manifestation should be coded with R75, Inconclusive laboratory evidence of human immunodeficiency virus [HIV].¹

According to the ICD-10-CM Coding Guidelines, patients with any known prior diagnosis of an HIV-related illness should be coded to B20, Human immunodeficiency virus [HIV] disease. Once a patient has developed an HIV-related illness, the patient should always be assigned code B20 on every subsequent admission/encounter. The ICD-10-CM classification includes acquired immune deficiency syndrome (AIDS) with code B20 (HIV disease).¹

HIV Infection	
ICD-10-CM Code	Diagnosis Code Description
B20	Human immunodeficiency virus [HIV] disease
R75	Inconclusive laboratory evidence of human immunodeficiency virus [HIV]
Z11.4	Encounter for screening for human immunodeficiency virus [HIV]
Z20.6	Contact with and (suspected) exposure to human immunodeficiency virus [HIV]
Z21	Asymptomatic human immunodeficiency virus [HIV] infection status
Z71.7	Human immunodeficiency virus [HIV] counseling
B20	Human immunodeficiency virus [HIV] disease

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: HIV-positive patient with known history of HIV-related pneumocystis pneumonia comes in for a follow up visit. They have been asymptomatic in response to highly active antiretroviral therapy (HAART). Viral load and CD4 count were ordered.

Coding Scenario 1: Human immunodeficiency virus [HIV] disease **B20**, Other long term (current) drug therapy **Z79.899**

Documentation Scenario 2: Patient recently had a positive HIV test and came in for a follow-up visit. They remain asymptomatic at this time. They were counseled on HIV infection and preventive measures for their HIV-negative partner.

Coding Scenario 2: Asymptomatic human immunodeficiency virus [HIV] infection status **Z21**, Human immunodeficiency virus [HIV] counseling **Z71.7**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.1. Retrieved May 2021 from: <https://www.cdc.gov/nchs/icd/icd10cm.html>.

Neoplasms

Documentation Guidance

When documenting neoplasms, include:

- Primary site, including organ and location within the organ, for example, lower-outer quadrant of breast
- Laterality, if applicable, for example, right bronchus or lung
- Histology, for example, squamous cell, large cell, adenocarcinoma, sarcoma
- Behavior, such as malignant or benign
- Stage and grade of the malignancy, if known
- Current treatment or treatment plan
- Secondary malignancies, specifying the primary source
 - Indicate whether the secondary malignancy was the result of local invasion, lymphatic spread, or hematologic metastasis.

Malignant neoplasms should only be documented as active when:

- The diagnosis has been confirmed and the treatment has not yet started
- The patient and provider agreed not to treat the malignancy, for example, watchful waiting in the case of a low-grade malignancy or patient opts for hospice
- The patient is on a break (drug holiday) from current ongoing treatment
- Treatment is current and ongoing, including, but not limited to surgery, chemotherapy, radiation, immunotherapy, stem cell transplant, adjuvant therapy and hormonal therapy
 - Adjuvant and/or hormonal therapy can span multiple years. Malignant neoplasms are considered active throughout the entire duration of therapy. Common examples include tamoxifen for breast cancer and leuporelin for prostate cancer.

When all forms of treatment have been completed and the malignancy is no longer considered active, it should be documented as *history of*. This applies to all malignancies except leukemia and multiple myeloma which should be documented as *in remission* once treatment is completed.

Coding Guidance

According to the ICD-10-CM Coding Guidelines, *When a primary malignancy has been excised or eradicated from its site and there is no further treatment directed to that site, and there is no evidence of any existing primary malignancy at that site, a code from category Z85, Personal history of malignant neoplasm, should be used to indicate the former site of the malignancy.*¹

In patients with multiple myeloma and leukemia, once treatment is completed and the patient is considered in remission, the documentation should reflect that. Patients with lymphoma that are documented as in remission are still considered to have active lymphoma per American Hospital Association (AHA) Coding Clinic and should be coded as having active lymphoma.² Patients documented as having a history of lymphoma are coded to personal history of lymphoma.

Neoplasms	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
C00.- through C96.-	Malignant neoplasms, primary or secondary by site
D00.- through D09.-	In situ neoplasms
D10.- through D36.-	Benign neoplasm, except benign neuroendocrine tumors
D3A.-	Benign neuroendocrine tumors
D37.- through D48.-	Neoplasms of uncertain behavior, polycythemia vera, and myelodysplastic syndromes
D49.-	Neoplasms of unspecified behavior
Z85.-	Personal history of malignant neoplasm

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: Female patient is seen for recheck of wound site following excision of right breast cancer from the upper-outer quadrant. Pathology showed metastatic breast cancer in two of the patient’s right axillary lymph nodes. She is following with oncology to initiate chemotherapy and radiation.

Coding for Scenario 1: Malignant neoplasm of upper-outer quadrant of right female breast **C50.411**, Secondary and unspecified malignant neoplasm of axilla and upper limb lymph nodes **C77.3**

Documentation Scenario 2: Patient following up for history of prostate cancer two years ago. He completed radiation one year ago. Patient is doing well. Prostate specific antigen (PSA) level is normal.

Coding for Scenario 2: Encounter for follow-up examination after completed treatment for malignant neoplasm **Z08**, Personal history of malignant neoplasm of prostate **Z85.46**

Documentation Scenario 3: Patient seen for recheck of lymphoma. Patient was diagnosed 3 years ago with lymphoma involving the abdominal lymph nodes. He completed chemotherapy and is following with oncology. Recent CT of abdomen was within normal limits. Patient is in remission.

Coding for Scenario 3: Non-Hodgkin lymphoma, unspecified, intra-abdominal lymph nodes **C85.93**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.2.d. Retrieved May 2021 from: www.cdc.gov/nchs/icd/icd10cm.htm
 2 American Hospital Association (AHA) *Coding Clinic*, 2Q 1992, page 3

Diabetes Mellitus

Documentation Guidance

When documenting diabetes mellitus (DM), include:

- Type of diabetes, for example, type 1, type 2, or secondary
- Associated complications
 - Indicate whether the complication is acute or chronic
 - Body system(s) affected
 - Laterality, if applicable, for example, right diabetic foot ulcer, diabetic retinopathy of the left eye
 - Severity, if applicable
- Use of insulin, oral hypoglycemic drugs, or injectable non-insulin antidiabetic drugs
- Status of diabetic control, such as controlled, with hyperglycemia, or with hypoglycemia

Acute complications, for example, diabetic ketoacidosis and hyperosmolar coma are typically managed in the inpatient setting.

It is a documentation best practice to clearly identify diabetic complications and causal relationships with linking verbiage such as due to, secondary to, or caused by. Some complications of DM require added specificity in the documentation to describe the complication in more detail. For example, the stage of CKD caused by DM, the presence of proliferative vs. nonproliferative diabetic retinopathy and associated laterality, or the presence of gangrene associated with diabetic peripheral angiopathy. If a condition commonly associated with diabetes (such as neuropathy) is unrelated to the DM, best practice is to expressly document the two conditions are unrelated.

Documentation of the term uncontrolled for DM is insufficient. Uncontrolled DM must be further defined as with hyperglycemia or with hypoglycemia to capture the most appropriate diagnosis code. Other acceptable phrases to describe uncontrolled DM with hyperglycemia are poorly controlled, out of control and inadequately controlled.

Coding Guidance

There are combination codes in ICD-10-CM that describe the type of DM and the associated complication(s). When a combination code exists for a particular complication, it should be assigned rather than assigning DM and the complication as independent codes, if the documentation supports the cause-and-effect relationship.¹

When multiple complications of DM are addressed and documented during the same encounter, multiple combination codes corresponding to the diabetic complications should be assigned. According to American Hospital Association (AHA) *Coding Clinic*, *Any combination of the diabetes codes can be assigned together, unless one diabetic condition is inherent in another.*² For example, diabetic retinopathy documented with hyperglycemia would be reported with two ICD-10-CM codes: E11.319, Type 2 DM with unspecified diabetic retinopathy without macular edema, and E11.65, Type 2 DM with hyperglycemia.

In ICD-10-CM, DM uncontrolled is indexed as diabetes, uncontrolled, meaning hyperglycemia or *hypoglycemia*. Medical record documentation must clearly indicate the presence of hyperglycemia or hypoglycemia to ensure accurate diagnosis code assignment. Since documentation of uncontrolled DM does not allow coders to assign a specific code as explained above, clinicians may use alternate phrases that will correspond to diabetes with hyperglycemia. These phrases are poorly controlled, out of control and inadequately controlled DM.

Code category E13.-, Other specified diabetes mellitus, should be used when a patient is documented as having diabetes type 1.5 or other terms such as combined diabetes type 1 and 2, latent autoimmune diabetes of adults (LADA), or double diabetes, per *AHA Coding Clinic*.³

Diabetes Mellitus	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
E08.-	Diabetes mellitus due to underlying condition
E09.-	Drug or chemical induced diabetes mellitus
E10.-	Type 1 diabetes mellitus
E11.-	Type 2 diabetes mellitus
E13.-	Other specified diabetes mellitus
Z79.4	Long term (current) use of insulin
Z79.84	Long term (current) use of oral hypoglycemic drugs
Z79.899	Other long term (current) drug therapy (such as an injectable non-insulin antidiabetic drug)

Documentation and Coding Scenarios *(For Illustrative Purposes Only)*

Documentation scenario 1: Patient has severe nonproliferative diabetic retinopathy with macular edema of both eyes caused by type 2 DM. Patient currently on insulin, following with endocrinology and ophthalmology.

Coding for scenario 1: Type 2 diabetes mellitus with severe nonproliferative diabetic retinopathy with macular edema, bilateral **E11.3413**, Long term (current) use of insulin **Z79.4**

Documentation scenario 2: Patient has chronic kidney disease stage 3a due to type 2 DM. GFR is stable on most recent labs and patient is on oral hypoglycemic drug.

Coding for scenario 2: Type 2 diabetes mellitus with diabetic chronic kidney disease **E11.22**, Chronic kidney disease, stage 3a **N18.31**, Long term (current) use of oral hypoglycemic drugs **Z79.84**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.4. Retrieved May 2021 from: www.cdc.gov/nchs/icd/icd10cm.htm

2 American Hospital Association (AHA) *Coding Clinic*, 3Q 2013, page 20

3 American Hospital Association (AHA) *Coding Clinic*, 3Q 2018, page 4

Body Mass Index and Nutrition-related Conditions

Documentation Guidance

When documenting body mass index (BMI) and nutrition-related conditions, include:

- Specific clinical diagnosis of nutrition-related condition, such as overweight, obesity, protein-calorie malnutrition, cachexia, or other related condition
- Severity, for example, mild, moderate, severe
- Causative factors for example, excessive calories, terminal illness, drug induced, malabsorption
- Associated conditions, such as anorexia, bulimia, Cushing's syndrome
- Complications such as, alveolar hypoventilation, obstructive sleep apnea, degenerative disease of the joints and spine, delayed healing

The World Health Organization (WHO) defines morbid obesity as BMI ≥ 40 ¹ while the National Institutes of Health (NIH)² and most bariatric surgery associations consider patients with a BMI of ≥ 35 with obesity-related comorbidities (such as hypertension, DM, hyperlipidemia, obstructive sleep apnea) to be morbidly obese. Ultimately, it is based on the provider's clinical judgment and documentation of whether or not a patient meets the definition of morbid obesity.

Establishing a diagnosis of malnutrition or cachexia is also dependent on the provider's clinical assessment based on the findings in each individual case as there are no widely agreed-upon diagnostic criteria. Supportive clinical findings should always be documented in the record such as weight loss, low BMI, or loss of muscle mass. In addition, document any underlying causes of malnutrition such as celiac disease, multiple sclerosis, AIDS, or malignancy, if known.

Coding Guidance

To assign a diagnosis code for morbid obesity, the provider must expressly document obesity as *morbid* or *severe* in the medical record. Per the American Hospital Association (AHA) *Coding Clinic*, BMI codes should be reported as a secondary code when the provider also documents a clinical diagnosis such as underweight, obesity or morbid obesity that corresponds to the BMI value.³

Codes for BMI may be assigned based on medical record documentation from clinicians who are not the patient's provider but instead are involved in the care of the patient, such as a nurse or dietician. The BMI must be clearly documented as coders are not permitted to calculate BMI based on the documented height and weight of the patient.⁴

Obesity, Morbid Obesity, and Nutrition-related Conditions	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
E43	Unspecified severe protein-calorie malnutrition
E44.-	Mild or moderate protein-calorie malnutrition
E46	Unspecified protein-calorie malnutrition
E66.-	Overweight, obese, and morbidly obese
R63.6	Underweight
R64	Cachexia
Code also BMI Z68.-	

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation Scenario 1: Patient comes in complaining of unintentional weight loss, states their appetite and food intake has not changed. Patient is noted to be underweight with a BMI of 18.3. Nutritional supplement was prescribed and work-up to uncover cause of weight loss was initiated.

Coding Scenario 1: Underweight **R63.6**, Body mass index 19.9 or less, adult **Z68.1**

Documentation Scenario 2: Patient comes in for follow up visit. They are morbidly obese with a BMI of 42.4. Counseled on weight loss, including diet and exercise.

Coding Scenario 2: Morbid (severe) obesity due to excess calories **E66.01**, Body mass index 40.0 – 44.9 **Z68.41**

1 World Health Organization, Obesity: https://www.who.int/health-topics/obesity#tab=tab_3

2 National Institute of Diabetes and Digestive and Kidney Diseases, National Institute of Health: <https://www.niddk.nih.gov/health-information/health-statistics/overweight-obesity>

3 American Hospital Association (AHA) *Coding Clinic*, 4Q 2018, page 77

4 ICD-10-CM Official Guidelines for Coding and Reporting, Section 1.C.21.c. Categories of Z Codes. Retrieved April 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Dementia and Seizure Disorder

Documentation Guidance

When documenting dementia, include:

- Type, such as vascular, dementia with Lewy bodies
- Underlying conditions, for example, Alzheimer's disease, multi-infarct, Parkinson's disease, alcohol
- The presence of behavioral disturbance, such as agitation, combativeness, wandering

When documenting seizure disorder (epilepsy), include:

- Single seizure vs seizure disorder
- Type of seizures for example, generalized, petit mal, partial complex
- Convulsive (tonic-clonic) vs. other symptoms, for example, absence seizure, myoclonus
- Controlled vs. intractable
- Presence of status epilepticus
- Idiopathic vs. secondary
- Underlying cause or pathology, if any, for example, anoxic brain injury, brain tumor, previous CVA
- Diagnostic testing or ongoing treatment with medications

Coding Guidance

Diagnosis code assignment for dementia depends on the type of dementia, underlying conditions, associated conditions, and whether or not the patient has behavioral disturbances. When dementia is the result of another condition, the underlying condition is reported first with code F02.8-, Dementia in other diseases classified elsewhere with or without behavioral disturbance, reported as a secondary code. ICD-10-CM contains guidance for the use of an additional code, Z91.83, to identify wandering in dementia, if applicable.¹

Diagnosis codes for convulsions that are not classified as epileptic in nature code to the signs and symptoms chapter of ICD-10-CM. Epilepsy and seizure disorders code to category G40.- and require the type of epilepsy or recurrent seizures, whether intractable or not intractable, and with or without status epilepticus, in order to assign codes to the highest level of specificity.

Dementia and Seizure Disorder	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
F01.-	Vascular dementia
F02.-	Dementia in diseases classified elsewhere
F03.-	Unspecified dementia
G40.-	Epilepsy and recurrent seizures
R56.-	Convulsions, not elsewhere classified

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient with Parkinson’s disease was brought in by family following episodes of agitation and combativeness. They were recently evaluated by neurology and diagnosed with Parkinson’s dementia. At this visit, the patient’s work-up did not reveal an organic cause of the agitation such as an infection. Based on a phone consultation with neurology the patient was started on a low dose antipsychotic at bedtime with plans for follow-up.

Coding for scenario 1: Parkinson’s disease **G20**, Dementia with behavioral disturbance **F02.81**

Documentation scenario 2: Patient presents for refills on anti-epileptic medications. They have had generalized idiopathic epilepsy for many years with only a few breakthrough seizures related to medication adjustment. The patient has not experienced any seizures in the past 6 months and have been stable on the same medication. Their medication was refilled.

Coding for scenario 2: Generalized idiopathic epilepsy and epileptic syndromes, not intractable, without status epilepticus **G40.309**

1 ICD-10-CM Expert for Physicians: The Complete Official Code Set (FY 2021)

Substance Use, Abuse and Dependence

Documentation Guidance

When documenting substance use, abuse, and dependence, include:

- Level of use: whether use, abuse, or dependence
- Substance involved, such as, alcohol, opioids, cannabis, sedatives, cocaine
- Use, current or past, of any recreational drugs, such as cocaine, cannabis, or hallucinogens
- Abuse of or dependence on psychoactive prescription medications, such as opioids, benzodiazepines, sedatives
- Presence of intoxication or withdrawal symptoms at the time of the evaluation
- Long term complications related to the substance, such as sleep disorder, anxiety disorder or psychotic disorder, and link to the substance use, abuse, or dependence
- Current or past use of tobacco products and specify the type of product
- Any respiratory illness resulting from smoking
- History of drug or alcohol dependence, documented as in remission

Abuse includes the use of a prescribed psychoactive medication for purposes other than what the prescriber intended, using it more frequently or in higher doses than prescribed. Alcohol abuse is defined as more than 4 drinks a day for men and more than 3 drinks a day for women.¹

Dependence indicates the development, through ongoing use, of a physiological need to continue using the substance (drug or alcohol) despite negative physical, social, or psychological consequences. Patients with substance dependence will develop withdrawal symptoms if the substance is discontinued abruptly. Another hallmark of dependence is the development of tolerance, requiring higher doses of the substance to achieve the desired effect.²

Substance dependence is a life-long condition. If a patient becomes sober through detox or a rehab program, they still carry the diagnosis and this should be documented as *drug/alcohol/substance dependence, in remission*.

Coding Guidance

Per ICD-10-CM guidelines only one code should be assigned according to the following hierarchy when use, abuse or dependence are documented for the same substance:

- Code abuse, when use and abuse is documented
- Code dependence if use and/or abuse is documented with dependence

Coding guidelines also state that codes for psychoactive substance use are to be used only when the psychoactive substance use is associated with a physical, mental, or behavioral disorder, and such a relationship is documented.³

There are no codes for history of alcohol and drug dependence. A patient with a personal history of drug or alcohol dependence is coded as *in remission*.

Substance Use, Abuse, and Dependence	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
F10.- through F19.-	Alcohol, opioid, cannabis, sedative, cocaine, stimulant, hallucinogen, nicotine, inhalant, and other psychoactive substance related disorders
Z72.0	Tobacco use
Z87.891	Personal history of nicotine dependence

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient was recently started on an anti-anxiety medication after being evaluated by a psychiatrist. The patient was diagnosed with anxiety disorder related to their cocaine abuse. The patient was counseled on cessation and referred to rehab.

Coding for scenario 1: Cocaine abuse with cocaine-induced anxiety disorder **F14.180**

Documentation scenario 2: Patient comes to the office seeking help with smoking cessation. They have a 30 pack-year history of smoking cigarettes and failed to quit multiple times. They also have history of alcohol dependence but managed to quit drinking and have been sober for 7 years.

Coding for scenario 2: Nicotine dependence, cigarettes **F17.21**, Alcohol dependence, in remission **F10.21**

1 NIH, National Institute on Alcohol Abuse and Alcoholism, accessed April 2021: <https://www.niaaa.nih.gov/alcohol-health/overview-alcohol-consumption/moderate-binge-drinking#:~:text=Heavy%20Alcohol%20Use%3A,than%207%20drinks%20per%20week>
 2 Substance Abuse and Mental Health Services Administration, Accessed March 2021: samhsa.org
 3 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.5.b.2. Retrieved May 2021 from: cdc.gov/nchs/icd/index.htm

Major Depressive and Bipolar Disorders

Documentation Guidance

When documenting major depressive disorder (MDD), include:

- Recurrence, such as single episode or recurrent
- Severity, such as mild, moderate, or severe
- Presence of psychotic features
- Remission status, such as partial or full

Utilize available tools, such as the patient health questionnaire-9 (PHQ-9) and the geriatric depression scale (GDS), to detect and determine the severity of depression symptoms. The clinical interpretation of the results of the screening tool must be documented by the provider in the medical record. A coder cannot assign a diagnosis code based on the score from the screening test.

In patients with MDD, *in remission* denotes the absence of depression symptoms as a result of ongoing treatment.

When documenting bipolar disorder, include:

- Type, such as type I or type II
- Current episode, such as hypomanic, manic, depressed, or mixed
- Severity, such as mild, moderate, or severe
- Presence of psychotic features
- Remission status, such as partial or full

Always document ongoing treatment for major depressive and bipolar disorders including antidepressant and antipsychotic medications, psychotherapy, and electroconvulsive therapy (ECT). Also document any recent hospitalization for inpatient treatment of these disorders.

Coding Guidance

Per American Hospital Association (AHA) *Coding Clinic*, *chronic depression* should be coded as F32.9, *Major depressive disorder, single episode, unspecified*. Per ICD-10-CM, code F32.9 is also used to indicate depression NOS (not otherwise specified), depressive disorder NOS, or MDD NOS as inclusion terms under this code.

When MDD and bipolar disorder are documented concurrently within an encounter, ICD-10-CM requires the assignment of bipolar disorder alone. The ICD-10-CM code set contains an Excludes 1 note at F31.-, Bipolar disorder, indicating that bipolar disorder and major depressive disorder cannot be reported together.

Major Depressive and Bipolar Disorders	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
F22	Delusional disorders
F24	Shared psychotic disorder
F30.-	Manic episode
F31.-	Bipolar disorder
F32.-	Major depressive disorder, single episode
F33.-	Major depressive disorder, recurrent
F34.-	Persistent mood affective disorders
F39	Unspecified mood affective disorder

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient with recurrent major depressive disorder comes in for a follow up visit. Patient reports a persistent depressed mood and scored 12 on the PHQ-9. Based on the reported symptoms and associated PHQ-9 score, a moderate level of depression is indicated. The patient will be referred to psychiatry for medication adjustment.

Coding for scenario 1: Major depressive disorder, recurrent, moderate **F33.1**

Documentation scenario 2: Patient recently discharged from the inpatient psychiatric unit comes in for a follow up visit. They have bipolar I disorder and were hospitalized for 5 days for a manic episode. They are now in full remission and report no manic nor depressive symptoms. They were instructed to continue their current antipsychotic medication regimen.

Coding for scenario 2: Bipolar disorder, in full remission, most recent episode manic **F31.74**

1 American Hospital Association (AHA) *Coding Clinic*, 4Q 2013, page 107

Schizophrenia, Personality and Eating Disorders

Documentation Guidance

When documenting schizophrenia, include type, if known:

- Paranoid, disorganized, catatonic, residual, or undifferentiated schizophrenia
- Schizophreniform disorder

When documenting personality disorders, include type, if known:

- Paranoid, schizoid, antisocial, borderline, histrionic, obsessive-compulsive, and narcissistic personality disorder

When documenting eating disorders, include type, if known:

- Anorexia nervosa, restricting type, anorexia nervosa, binge eating/purging type, Bulimia nervosa, and other specified eating disorders such as pica

In addition, document body mass index (BMI) and any complications related to poor nutrition due to an eating disorder such as malnutrition or vitamin deficiency. Complications resulting from purging, such as tooth erosion and electrolyte imbalance, should be documented using linking language to establish a relationship between the complication and underlying disorder.

Document any ongoing treatment of mental and behavioral disorders including antipsychotic medications, psychotherapy, and behavioral cognitive therapy. Also document any recent hospitalization for inpatient treatment of mental and behavioral disorders.

Coding Guidance

American Hospital Association (AHA) *Coding Clinic*, states that there are currently no ICD-10-CM codes that differentiate between severity or acute exacerbation of schizophrenia. Therefore, if the patient has an acute exacerbation of schizophrenia, assign code F20.9, Schizophrenia unspecified.¹

Per the AHA *Coding Clinic*, BMI codes should be reported as a secondary code when the provider also documents a clinical diagnosis such as underweight, obesity or morbid obesity that corresponds to the BMI value.² Codes for BMI may be assigned based on medical record documentation from clinicians who are not the patient's provider but instead are involved in the care of the patient, such as a nurse or dietician. The BMI must be clearly documented as coders are not permitted to calculate BMI based on the documented height and weight of the patient.³

Schizophrenia, Personality and Eating Disorders	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
F20.-	Schizophrenia
F21	Schizotypal disorder
F22	Delusional disorder
F23	Brief psychotic disorder
F24	Shared psychotic disorder
F25.-	Schizoaffective disorders
F50.-	Eating disorders
F60.-	Specific personality disorders

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient was brought in by their spouse because they are convinced that the elderly couple living next door are plotting to kidnap him. The patient also reported hearing voices that his spouse could not hear. The patient is known to have paranoid schizophrenia and they decided to cut their medication dose in half because of side effects. The spouse was instructed to resume the medication at the prescribed dose and to follow up with the patient’s psychiatrist.

Coding for scenario 1: Paranoid schizophrenia **F20.0**

Documentation scenario 2: Patient with anorexia nervosa, binge eating/purging type comes in complaining of generalized weakness and fatigue. Physician exam showed a BMI of 18, erosion of the incisors and lab tests confirmed hypokalemia. The patient was referred to an eating disorder treatment program.

Coding for scenario 2: Anorexia nervosa, binge eating/purging type **F50.02**, Erosion of teeth **K03.2**, Hypokalemia **E87.6**, Body mass index (BMI) 19.9 or less, adult **Z68.1**.

1 American Hospital Association (AHA) *Coding Clinic*, 2Q 2019, page 32

2. American Hospital Association (AHA) *Coding Clinic*, 4Q 2018, page 77

3 ICD-10-CM Official Guidelines for Coding and Reporting, Section 1.C.21.c. Categories of Z Codes. Retrieved April 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Atherosclerotic Heart Disease and Angina Pectoris

Documentation Guidance

When documenting atherosclerotic heart disease (ASHD)/coronary artery disease (CAD), include:

- Native coronary artery or bypass graft
 - If present, indicate whether the graft(s) is arterial, venous, or synthetic and whether autologous or non-autologous
- Affected coronary artery(ies)
- Native or transplanted heart
- Presence or absence of angina pectoris
 - If present, indicate type of angina pectoris, such as:
 - Unstable
 - Note: Unstable angina is an emergent condition and as such, documentation must demonstrate a clinically appropriate treatment plan
 - With documented spasm if present (Prinzmetal angina)
 - Other, for example, stable angina of effort, angina equivalent

If a patient has angina as the result of ASHD, the relationship between the two conditions should be documented.

Coding Guidance

ICD-10-CM combination codes from subcategories I25.11 and I25.7 (ASHD with angina pectoris) should be assigned if the patient has angina as a result of ASHD. A causal relationship between the two conditions can be assumed unless documented as being unrelated or angina is documented as being due to a condition other than atherosclerosis. When one of these combination codes are used, it is not necessary to use an additional code for angina pectoris.¹

If the documentation states that the patient is exhibiting angina symptoms but has no known ASHD, there are ICD-10-CM codes for reporting angina alone.

Documentation must specifically state the type of angina as unstable in order for it to be coded as such. Unstable angina is a medical emergency and will, in most cases, be treated in the inpatient setting.

ASHD/CAD	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I20.0	Unstable angina
I20.1	Angina pectoris with documented spasm (for example, Prinzmetal angina)
I20.8	Other forms of angina pectoris (for example, exertional angina, angina of effort, stable angina)
I20.9	Angina pectoris, unspecified
I25.10	Atherosclerotic heart disease of native coronary artery without angina pectoris
I25.11-	Atherosclerotic heart disease of native coronary artery with angina pectoris
I25.7-	Atherosclerosis of coronary artery bypass graft(s) and coronary artery of transplanted heart with angina pectoris
I25.81-	Atherosclerosis of other coronary vessels without angina pectoris

Documentation and Coding Scenarios *(For Illustrative Purposes Only)*

Documentation scenario 1: Patient with atherosclerotic heart disease, status post stent placement in right coronary artery two weeks ago, comes in for follow-up visit. They report no chest pain or other angina symptoms. Patient takes an aspirin daily.

Coding for scenario 1: Atherosclerotic heart disease of native coronary artery without angina pectoris **I25.10**, Presence of coronary angioplasty implant and graft **Z95.5**

Documentation scenario 2: Patient with coronary artery disease status post coronary artery bypass grafting (CABG) 5 years ago, presents with exertional angina for which they take sublingual nitroglycerin with subsequent relief of symptoms. Recent coronary angiogram showed atherosclerosis and narrowing in two of the patient's saphenous vein grafts.

Coding for scenario 2: Atherosclerosis of autologous vein coronary artery bypass graft(s) with other forms of angina pectoris **I25.718**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.9.b. Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Myocardial Infarction

Documentation Guidance

When documenting myocardial infarction (MI), include:

- Type, such as ST elevation myocardial infarction (STEMI), non-ST elevation myocardial infarction (NSTEMI), or other type
- Affected artery
- Site of infarction, for example, anterolateral wall, inferior wall
- Timing, for example, initial episode of care, subsequent episode of care (follow up for an MI occurring within 4 weeks of an initial MI), or old healed MI requiring no further care
- Date of onset
- Any current complications, such as hemopericardium or rupture of cardiac wall

Acute coronary syndrome (ACS) is considered to be a broad category of acute myocardial events falling into three main categories: STEMI, NSTEMI and unstable angina. These conditions are medical emergencies, typically diagnosed in the emergency department and treated in an inpatient setting. They are unlikely to be seen or documented in the outpatient setting due to the acute nature of these conditions.

Coding Guidance

An MI may be reported with a code from category I21, Acute myocardial infarction, up to 4 weeks (28 days) from the date of onset. Encounters for care related to the MI after the 4-week time frame should be reported with the appropriate aftercare code. An old or healed MI, not requiring further care, should be documented and coded as I25.2, Old myocardial infarction.¹

Myocardial Infarction	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I21.0-	ST elevation (STEMI) myocardial infarction of anterior wall
I21.1-	ST elevation (STEMI) myocardial infarction of inferior wall
I21.2-	ST elevation (STEMI) myocardial infarction of other sites
I21.3	ST elevation (STEMI) myocardial infarction of unspecified site
I21.4	Non-ST elevation (NSTEMI) myocardial infarction
I21.9	Acute myocardial infarction, unspecified
I21.A1	Myocardial infarction type 2
I21.A9	Other myocardial infarction type
I22.-	Subsequent ST elevation (STEMI) myocardial infarction and non-ST elevation (NSTEMI) myocardial infarction
I23-	Certain current complications following ST elevation (STEMI) and non-ST elevation (NSTEMI) myocardial infarction (within the 28-day period)
I25.2	Old myocardial infarction

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient presents for a routine check-up following MI of the left main coronary artery three months ago. They are asymptomatic and require no continued care for the MI.

Coding for scenario 1: Old myocardial infarction **I25.2**

Documentation scenario 2: Patient suffered an acute MI of the right coronary artery three weeks ago. They are in the office for their two-week follow up from the hospital. The patient reported no chest pain since they were discharged, and they were given refill prescriptions for beta blocker and anti-platelet agent today.

Coding for scenario 2: ST elevation (STEMI) myocardial infarction involving right coronary artery **I21.11**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.9.e.2. Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Cardiac Arrhythmias

Documentation Guidance

When documenting cardiac arrhythmias, include:

- Type of arrhythmia, for example, atrial fibrillation, atrial flutter, supraventricular tachycardia
- Chronicity, if known, for example, paroxysmal, persistent, permanent, chronic
- Presence of a pacemaker or an automatic implantable cardiac defibrillator (AICD) and the underlying condition necessitating the device
- Ongoing treatment, such as rate control, antiarrhythmics, anticoagulants
- Previous procedures, such as radio-frequency ablation, Watchman device

Ventricular arrhythmias (ventricular tachycardia and ventricular fibrillation) are acute diagnoses and typically result in cardiac arrest. They should only be documented when the arrhythmia occurs. Patients who have an AICD placed after the initial episode of arrhythmia may have recurrent episodes, at which time the AICD will attempt to shock them back into a normal rhythm.

Conduction disorders should also be documented to the highest specificity when present, such as second degree atrioventricular (AV) block, sick sinus syndrome (SSS). Conduction disorders are typically treated with placement of a permanent pacemaker. However, the pacemaker does not cure the underlying conduction disorder. Therefore, it is appropriate to continue to document the conduction disorder even after a pacemaker has been placed.

Some arrhythmias, including atrial fibrillation, can be treated with radiofrequency or cryoablation which destroys the source or pathway of the abnormal rhythm. An ablation is considered a curative procedure. An arrhythmia should be documented as historic or resolved following an ablation, unless unsuccessful or the patient has a recurrent episode.

Coding Guidance

Per the American Hospital Association (AHA) *Coding Clinic*, notes that although sick sinus syndrome may be controlled with a pacemaker, the condition itself is still considered to be present and reportable as a chronic condition. It would be appropriate to assign a code for sick sinus syndrome and the presence of a cardiac pacemaker when both are documented and supported in the medical record.¹

Cardiac Arrhythmias	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I44.-	Atrioventricular and left bundle-branch block
I47.-	Paroxysmal tachycardia
I48.-	Atrial fibrillation and flutter
I49.-	Other cardiac arrhythmias
R00.-	Abnormalities of heart beat
Z95.0	Presence of cardiac pacemaker
Z95.810	Presence of automatic (implantable) cardiac defibrillator
I44.-	Atrioventricular and left bundle-branch block
I47.-	Paroxysmal tachycardia
I48.-	Atrial fibrillation and flutter
I49.-	Other cardiac arrhythmias

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: Patient came in for follow up visit with cardiologist. Had a pacemaker placed 3 months ago for second degree AV block, Mobitz II. Patient denies chest pain or palpitations. EKG shows paced rhythm with rate of 70 beats per minute.

Coding for Scenario 1: Atrioventricular block, second degree **I44.1**, presence of cardiac pacemaker **Z95.0**

Documentation Scenario 2: Patient seen in the office for atrial fibrillation. They had failed multiple attempts at cardioversion and their atrial fibrillation is now permanent. They are on anticoagulation and their INR was checked this visit and is within the therapeutic range.

Coding for Scenario 2: Permanent atrial fibrillation **I48.21**, Long term (current) use of anticoagulants **Z79.01**

1 American Hospital Association (AHA) Coding Clinic, 1Q 2019, page 33

Congestive Heart Failure

Documentation Guidance

When documenting congestive heart failure (CHF), include:

- Type of heart failure, such as systolic (heart failure with reduced ejection fraction HFrEF), diastolic (Heart failure with preserved ejection fraction HFpEF), or combined systolic and diastolic
- Acuity, such as acute, chronic, or acute on chronic
- Underlying causes, for example, hypertension (with or without chronic kidney disease), cardiomyopathy (specify type such as ischemic, dilated, restrictive, etc.), rheumatic, or non-rheumatic valvular disease

In the outpatient setting, chronic CHF will be the appropriate diagnosis most of the time as acute CHF is a medical emergency typically treated in the inpatient setting. Treatment for acute congestive heart failure may include intravenous diuretics and nitroglycerin as well as supplemental oxygen.

Coding Guidance

American Hospital Association (AHA) Coding Clinic states, *These terms HFpEF and HFrEF are more contemporary terms that are being more frequently used and can be further described as acute or chronic. Therefore, when the provider has documented HFpEF, HFrEF, or other similar terms noted above, the coder may interpret these as “diastolic heart failure” or “systolic heart failure”, respectively, or a combination of both indicated, and assign the appropriate ICD-10-CM codes.*¹

The heart failure code range has a code first guideline for heart failure due to hypertension (I11.0), heart failure due to hypertension with chronic kidney disease (I13.-), heart failure following surgery (I97.13-), and rheumatic heart failure (I09.81).

Assign combination codes for hypertension with heart failure or hypertensive heart and chronic kidney disease with heart failure when both conditions coexist in the same patient. Use an additional code(s) from category I50 to identify the type(s) of heart failure.²

Congestive Heart Failure	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I50.2-	Systolic (congestive) heart failure
I50.3-	Diastolic (congestive) heart failure
I50.4-	Combined systolic (congestive) and diastolic (congestive) heart failure
I50.81-	Right heart failure
I50.9	Heart failure, unspecified

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: Patient with hypertension, chronic kidney disease stage 3a and chronic diastolic heart failure comes in for a follow-up visit. They complain of persistent swelling of the lower extremities. Otherwise their blood pressure is well controlled, and they deny shortness of breath or other symptoms. Their dose of diuretics was increased, and blood was drawn to recheck the patient's GFR.

Coding Scenario 1: Hypertensive heart and chronic kidney disease with heart failure and stage 1 through stage 4 chronic kidney disease, or unspecified chronic kidney disease **I13.0**, Chronic kidney disease, stage 3a **N18.31**, Chronic diastolic (congestive) heart failure **I50.32**

Documentation Scenario 2: Patient presents to the emergency department complaining of gradually worsening shortness of breath, fatigue, and cough productive of frothy sputum. They are known to have chronic systolic congestive heart failure due to ischemic cardiomyopathy. Exam findings and chest x-ray confirm acute congestive heart failure. The patient is given a dose of intravenous diuretics and a cardiology consultation is requested.

Coding Scenario 2: Acute on chronic systolic (congestive) heart failure **I50.23**, Ischemic cardiomyopathy **I25.5**

1 American Hospital Association (AHA) Coding Clinic, 1Q 2016, page 10

2 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.9.a Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Stroke or Cerebrovascular Accident

Documentation Guidance

When documenting stroke or cerebrovascular accident (CVA) in the acute setting, include:

- Type of stroke, such as ischemic or hemorrhagic
- Affected vessel, if known, for example, right middle cerebral artery
- Mechanism, if known, for example, thrombosis or embolism
- Risk factors, for example, atrial fibrillation, uncontrolled hypertension

Documentation of *stroke or CVA* without further specificity will default to an acute stroke, which rarely occurs in an office visit setting. A stroke is a medical emergency that is typically managed in the acute inpatient setting.

On follow-up visits after the stroke, document *history of stroke* along with any current residual neurological or cognitive deficits and link them to the stroke.

When documenting residual neurological deficits of a stroke, include:

- Type of CVA, for example, nontraumatic subarachnoid hemorrhage, nontraumatic intracerebral hemorrhage, other nontraumatic intracranial hemorrhage or cerebral infarction
- Type of deficits, for example, motor, sensory, cognitive, speech
- Limb(s) if involved, including laterality, for example, left hemiplegia, monoplegia of the right upper limb
- Specify if dominant or nondominant side is affected, that is, whether the patient is right-handed or left-handed
- Linking verbiage, such as, *due to* or *caused by*

Coding Guidance

Documentation of *stroke or CVA* results in the assignment of an acute CVA code, which would be inappropriate to report except for the initial episode of care when the acute CVA was diagnosed and treated (typically in an emergency department or inpatient setting).

In ICD-10-CM, code category I63 should be utilized when the medical documentation indicates that a cerebral infarction or stroke has occurred. There are specific codes which indicate the cause of the cerebral infarction, such as embolism or thrombosis, as well as the specific affected arteries. The sixth digit provides additional information which designates the affected side when applicable.

In the outpatient setting, after the patient's initial episode of acute CVA care, CVA coding will concentrate on properly assigning codes for sequelae or late effects of CVAs, if applicable. History of CVA with no current associated residual deficits should be reported using a personal history code.

Stroke or CVA	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I60.-	Nontraumatic subarachnoid hemorrhage
I61.-	Nontraumatic intracerebral hemorrhage
I62.-	Other and unspecified nontraumatic intracranial hemorrhage
I63.-	Cerebral infarction
I69.-	Sequelae of cerebrovascular disease*
Z86.73	Personal history of transient ischemic attack (TIA), and cerebral infarction without residual deficits
*4th character specifies the type of CVA *5th character specifies particular deficits *6th character specifies laterality and whether the dominant side is affected	

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient is in the office for a follow-up visit. They were discharged from the hospital 3 weeks ago after a right parietal cerebral infarction. This was thought to be embolic due to paroxysmal atrial fibrillation. The patient is right-handed, and they have moderate residual left hemiparesis. The patient is now on anticoagulants and their coagulation profile was within the therapeutic range today. They are showing slow improvement with physical therapy.

Coding for scenario 1: Hemiplegia and hemiparesis following cerebral infarction affecting left non-dominant side **I69.354**, Paroxysmal atrial fibrillation **I48.0**, Long term (current) use of anticoagulants **Z79.01**

Vascular Disease

Documentation Guidance

When documenting vascular disease, include:

- Acuity, such as acute or chronic
- Specify vessels involved, including laterality, for example, stricture of left renal artery, thoracic aortic aneurysm, atherosclerosis of right femoral artery
- Complications from vascular disease like dissection and aneurysms of blood vessels, skin ulcers, and gangrene
- Link manifestations to the underlying cause, for example, intermittent claudication due to peripheral vascular (arterial) disease (PVD/PAD), rest pain due to atherosclerosis of arteries of left lower extremity, arterial foot ulcer on right great toe
- Long-term use of anticoagulants

Link PVD/PAD to diabetes if it is considered an underlying cause.

Document the initial size of the aneurysm, if known, and plans for ongoing monitoring. If an aneurysm is resected and replaced with a graft, it is considered resolved and should be documented as *history of aneurysm*. On the other hand, if an aneurysm is treated with an endograft or a stent, it should still be documented as active since the aneurysm is still present.

Documenting *deep venous thrombosis (DVT)* or *pulmonary embolism (PE)* without specifying the chronicity of the condition results in the default assignment of the acute DVT/ PE code. Acute DVT/PE codes are only appropriate to assign when the DVT/PE is first diagnosed and initial treatment is started.

Once treatment is completed, whether a 6- or 9-month course of anticoagulants or insertion of an inferior vena cava filter, *history of DVT* or *PE* should be documented.

Since there is no ICD-10-CM code for *recurrent DVT* or *recurrent PE*, such documentation again results in the default assignment of the corresponding acute codes. Therefore, when a patient is on long term (or life-long) treatment with anticoagulants because of a chronic DVT or chronic PE, these should be documented as such.

When documenting varicose veins (lower extremity), include:

- Location or site, including laterality
- Ulcer and/or inflammation, including site, laterality, and severity
- Other complications, such as pain, swelling, edema

Coding Guidance

Stasis (venous) ulcers without varicose veins indexes to I87.2, Venous insufficiency. Stasis (venous) ulcers with varicose veins index to Varix, leg, with, ulcer, I83.-, Varicose veins of lower extremities. Both I87.2 and I83.- require the use of an additional code to identify the severity of the ulcer using code category, L97.-, non-pressure chronic ulcer of lower limb, not elsewhere classified.

Vascular Disease	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
I26-	Pulmonary embolism
I27.82	Chronic pulmonary embolism
I71-	Aortic aneurysm and dissection
I73.89	Other specified peripheral vascular diseases
I73.9	Peripheral vascular disease, unspecified
I74.-	Arterial embolism and thrombosis
I82.5-	Chronic embolism and thrombosis of deep veins of lower extremity
I83.-	Varicose veins of lower extremities
I87.2	Venous insufficiency (chronic) (peripheral)
Z86.718	Personal history of venous embolism and thrombosis

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: Patient came in complaining of pain in the left leg with walking that subsides with rest. They are diagnosed with intermittent claudication and an arterial doppler study confirms stenosis in the left femoral artery due to atherosclerosis. The patient also has history of abdominal aortic aneurysm resected 2 years ago and replaced with a graft. They are referred to vascular surgery for management.

Coding for Scenario 1: Atherosclerosis of native arteries of extremities with intermittent claudication, left leg **I70.212**, Personal history of other diseases of the circulatory system **Z86.79**

Documentation Scenario 2: Patient is in the office for a follow-up visit. They have chronic DVT of the right popliteal vein and are on life-long anticoagulation. The patient's coagulation profile was checked and was within therapeutic range. Their anticoagulant prescription was refilled during the visit.

Coding for Scenario 2: Chronic embolism and thrombosis of right popliteal vein **I82.531**, Long term (current) use of anticoagulants **Z79.01**

Chronic Obstructive Pulmonary Disease (COPD) and Other Respiratory Diseases

Documentation Guidance

When documenting COPD and other respiratory diseases, include:

- Subtype of COPD, if known, for example, emphysema, chronic bronchitis, chronic obstructive asthma
- Associated conditions, for example, bronchiectasis, pulmonary fibrosis, alpha-1 antitrypsin deficiency
- Tobacco use, dependence, or a history of tobacco use or exposure to second-hand tobacco smoke
- Complications, for example, lower respiratory tract infection, acute or chronic respiratory failure, spontaneous pneumothorax
- Dependence on supplemental oxygen or mechanical ventilation

Acute exacerbations of COPD are treated in the inpatient setting most of the time. An acute exacerbation must be documented as such, and if caused by an infection, the type of infection and the causal organism should be documented, in addition to the management and treatment.

Accurate documentation of asthma should include the severity of asthma and describe the frequency, such as, mild intermittent, severe persistent.

Cystic fibrosis, interstitial lung disease and pulmonary fibrosis are irreversible, life-long conditions that should be evaluated and documented yearly. Documentation should include whether the patient is under the care of a pulmonologist.

Patients with pneumonia may need inpatient care. When documenting pneumonia, specify the causative organism, if known, based on respiratory and blood cultures, such as, streptococcus, pseudomonas, anaerobes. Also include the underlying cause, if any, such as aspiration.

Coding Guidance

In ICD-10-CM, category J44 includes combination codes to indicate COPD with acute lower respiratory infection, COPD with (acute) exacerbation and COPD unspecified. If there is an acute lower respiratory infection, the provider will need to document the type of infection, for example, pneumonia or acute bronchitis for appropriate secondary code assignment. ICD-10-CM coding guidelines state that *an acute exacerbation is not equivalent to an infection superimposed on a chronic condition, though an exacerbation may be triggered by an infection.*¹ ICD-10-CM also has a, 'Use additional code' instruction for coders to identify tobacco smoke exposure, tobacco use or dependence.²

When COPD and emphysema are documented concurrently within an encounter, ICD-10-CM directs to report only the emphysema as the more specific, obstructive process. When chronic bronchitis and emphysema are documented concurrently within an encounter, ICD-10-CM requires the assignment of COPD. Chronic Bronchitis with emphysema is an inclusion term under the J44 code set.

COPD and Other Respiratory Diseases	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
J41.-	Simple and mucopurulent chronic bronchitis
J42	Unspecified chronic bronchitis
J43.-	Emphysema
J44.-	Other chronic obstructive pulmonary disease
J45.-	Mild intermittent, mild persistent, moderate persistent, and severe persistent asthma
J47.-	Bronchiectasis
J96.-	Respiratory failure, not elsewhere classified
Z99.81	Dependence on supplemental oxygen
J41.-	Simple and mucopurulent chronic bronchitis
J42	Unspecified chronic bronchitis
J43.-	Emphysema

Documentation and Coding Scenario Examples (For Illustrative Purposes Only)

Documentation Scenario 1: Patient with known COPD due to heavy tobacco use in the past presents complaining of a dry cough and low-grade fever for the past 3 days. Denies shortness of breath beyond baseline. Chest x-ray does not show evidence of pneumonia. Patient is diagnosed with acute bronchitis and is sent home with a course of oral antibiotics.

Coding Scenario 1: COPD with acute lower respiratory infection **J44.0**, acute bronchitis **J20.9**, Personal history of nicotine dependence **Z87.891**

Documentation Scenario 2: Patient is in the office for re-evaluation of their COPD. They had a chest CT and pulmonary function test the week prior which confirmed the presence of emphysema. They have been dependent on home oxygen since they were placed on it 6 months ago and today reported no shortness of breath. Their pulse oximetry in the office showed a concentration of 97%.

Coding Scenario 2: Emphysema, unspecified **J43.9**, Dependence on supplemental oxygen **Z99.81**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.10.a.1. Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)
 2 ICD-10-CM Expert for Physicians: The Complete Official Code Set (FY 2021)

Pressure and Non-Pressure Ulcers

Documentation Guidance

When documenting pressure ulcers, include:

- Location or site, specifying laterality, if applicable
- Depth/severity by stages 1-4, unspecified stage, unstageable
 - Stage 1-pressure pre-ulcer skin changes limited to persistent focal edema
 - Stage 2-pressure ulcer with abrasion, blister, partial thickness skin loss involving epidermis and/or dermis
 - Stage 3-pressure ulcer with full thickness skin loss involving damage or necrosis of subcutaneous tissue
 - Stage 4-pressure ulcer with necrosis of soft tissues through to underlying muscle, tendon, or bone
- Deep tissue pressure injury
- Risk factors, for example, bed-ridden status, paralysis, malnutrition, severe cognitive impairment
- Complications, such as infection involving skin, soft tissue, or bone

When documenting non-pressure chronic ulcers, include:

- Location or site, specifying laterality, if applicable
- Depth/severity
 - Breakdown of skin
 - Fat layer exposed
 - Muscle involvement, with or without necrosis
 - Bone involvement, with or without necrosis
 - Other specified severity
 - Unspecified severity
- Etiology/underlying conditions, such as:
 - Atherosclerosis of the arteries of the lower extremities
 - Gangrene
 - Diabetes mellitus
 - Chronic venous hypertension
 - Varicose veins

Coding Guidance

Codes in category L89-, pressure ulcer, are combination codes based on the site and stage of the pressure ulcer. Additional characters are added based on site and laterality, for example, L89.021, Pressure ulcer of left elbow, stage 1. Unstageable ulcer means a stage cannot be clinically determined. Unspecified stage means that there is no documentation regarding the stage.

For pressure-induced deep tissue damage or injury not due to trauma are assigned codes L89.—6.

When coding for non-pressure ulcers, code first any underlying condition and then the depth of the ulcer as stated above. No code is assigned if the documentation states that the non-pressure ulcer is completely healed.

ICD-10-CM classifies an unspecified wound as traumatic by default. Wounds such as skin tears, burns, abrasions, or surgical complications are not coded to pressure or non-pressure ulcers.¹

Pressure and Non-pressure Ulcers	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
L89.*	Pressure ulcer
L97.*	Non-pressure chronic ulcer of lower limb, not elsewhere classified
L98.4-	Non-pressure ulcer of skin, not elsewhere classified
*4th character specifies site *5th character specifies laterality *6th character specifies stage	

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient who is bed-bound due to advanced multiple sclerosis is brought in by family for evaluation of a bed sore. They have a stage 2 pressure ulcer on the right buttock which looks clean without evidence of infection or necrosis. The family was counseled on frequent turning and the patient was set up with home care for dressing changes.

Coding for scenario 1: Pressure ulcer of right buttock, stage 2 **L89.312**, Multiple sclerosis **G35**, Bed confinement status **Z74.01**

Documentation scenario 2: Patient presents for a follow-up visit. They have a chronic ulcer on the left heel due to atherosclerosis of the arteries of the left leg. The ulcer involves the bone with associated chronic osteomyelitis but no evidence of necrosis. The patient has a peripherally inserted central venous catheter (PICC) and is receiving IV antibiotics under the supervision of an infectious disease specialist. They also see an orthopedic surgeon for debridement and wound care.

Coding for scenario 2: Atherosclerosis of native arteries of left leg with ulceration of heel and midfoot **I70.244**, Non-pressure chronic ulcer of left heel and midfoot with bone involvement without evidence of necrosis **L97.426**, Other chronic osteomyelitis, left ankle and foot **M86.672**, Presence of other vascular implants and grafts **Z95.828**, Long term (current) use of antibiotics **Z79.2**

¹ ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.12.a. Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Rheumatoid Arthritis

Documentation Guidance

When documenting rheumatoid arthritis, include:

- Limb(s) and Joint(s) involved, including laterality
- Positive (seropositive) or negative (seronegative) rheumatoid factor
- Type, such as juvenile or adult-onset
- Complications, for example, joint destruction and deformities
- Organ or system involvement (extra-articular), for example, lung, skin
- Severity, such as mild, moderate, or severe
- Current status, for example, active or in remission

Document whether the patient is being treated with a disease-modifying anti-rheumatic drug (DMARD). If not on a DMARD, document the reason and list other long-term medications being used such as NSAIDs, opioids, steroids, and immunosuppressants.

Referrals to rheumatology and other specialists should be documented, including physical and occupational therapists and orthopedic surgeons.

Rheumatoid arthritis that is in remission should be documented as *in remission* and not as *history of*. *History of* is interpreted as the patient no longer has the condition.

Coding Guidance

Rheumatoid arthritis classifies to the categories listed below and is further indexed to juvenile, seronegative, seropositive, and unspecified. Seronegative means the patient does not test positive for rheumatoid factor or anti-cyclic citrullinated peptide (anti-CCP) antibodies. Seronegative ICD-10-CM codes index to category M06.-. Seropositive means the patient does test positive for rheumatoid factor or other antibodies (anti-CCP). Seropositive ICD-10-CM codes index to M05.9. Extra-articular involvement of RA can include organs such as the heart, skin, and lungs. In the ICD-10-CM Index under arthritis, rheumatoid, is the linking term *with* for carditis, lung involvement, vasculitis, etc. This directs users to extra-articular conditions; for example, rheumatoid carditis indexes to M05.30.

Since there is not an ICD-10-CM code for long term current use of immunosuppressants, code Z79.899, Other long term (current) drug therapy, should be assigned to capture the long-term use of immunosuppressants.

Severe joint pain should not be coded separately as this is a characteristic of rheumatoid arthritis. Also, do not assign codes for an immunocompromised state as immunosuppressants are used for this condition.

Per ICD-10-CM guidelines, most codes within chapter 13, Diseases of the Musculoskeletal System and Connective tissue, have site and laterality designations. For conditions where one bone, joint, or muscle is involved, such as osteoarthritis, there is a *multiple sites* code available. Any time a condition affects more than one anatomic site, for example hand and ankle, and a *multiple sites* option is available within the category, assign the designated *multiple sites* code.¹

When a condition affects a single site, bilaterally, for example right and left hands, assign each code independently rather than assigning a code for *multiple sites*. A code for multiple sites should be reserved for instances where the condition affects more than one anatomic location.

Rheumatoid Arthritis	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
M05.-	Rheumatoid arthritis with rheumatoid factor
M06.0-	Rheumatoid arthritis without rheumatoid factor
M06.8-	Other specified rheumatoid arthritis
M08.-	Juvenile arthritis
Z79.899	Other long term (current) drug therapy

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient recently diagnosed with rheumatoid arthritis came in for a follow-up visit. Patient has joint involvement in both hands, and they tested positive for rheumatoid factor. No other organs are involved. They are tolerating treatment with methotrexate well and were given a refill today.

Coding for scenario 1: Rheumatoid arthritis with rheumatoid factor of right hand without organ or systems involvement **M05.741**, Rheumatoid arthritis with rheumatoid factor of left hand without organ or systems involvement **M05.742**, Other long term (current) drug therapy **Z79.899**

Documentation scenario 2: Patient with rheumatoid lung disease comes in complaining of pain in the right shoulder. Examination and imaging findings confirm rheumatoid arthritis involving the right shoulder. The lung disease had been well controlled on DMARD therapy. The patient is referred to rheumatology for possible medication regimen adjustment.

Coding for scenario 2: Rheumatoid lung disease with rheumatoid arthritis of right shoulder **M05.111**, Other long term (current) drug therapy **Z79.899**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.13.a. Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Traumatic and Pathological Fractures

Documentation Guidance

When documenting fractures-disorders and injuries of the musculoskeletal system, include:

- Site (bone, muscle, or joint). If multiple sites are involved, they should all be listed.
- Laterality, such as right, left, or bilateral
- Underlying systemic disease, if any, such as systemic lupus erythematosus (SLE) or rheumatoid arthritis (RA)
- With fractures, specify:
 - Traumatic or pathological
 - If pathological, document etiology for example, malignant neoplasm, osteoporosis
 - Open or closed
 - Displacement of bone fragments
 - Episode of care, such as initial, subsequent, or sequelae (late effects)
 - Complications, for example, delayed healing, nonunion, malunion

Episodes of care for fractures and injuries should be documented as *initial* as long as the patient is receiving active treatment which may include surgical intervention and/or stabilization. While the patient may be seen by a new or different provider over the course of treatment for an injury or a fracture, documentation of an *initial encounter* is based on whether the patient is undergoing active treatment and not whether the provider is seeing the patient for the first time. An initial encounter could also be documented if the patient delayed seeking treatment. Pain management alone is not considered active treatment.

Any later follow-up encounters should be documented as *subsequent encounters*, including those for nonunion and malunion. Document *sequelae* for long-term complications of the fracture or injury that requires ongoing care or treatment.

Pathological fractures can result from neoplasms involving the bone (primary or secondary), osteoporosis, Paget's disease, hyperparathyroidism, and nutritional or congenital disorders.

Coding Guidance

ICD-10-CM uses seventh characters to characterize different stages of injury, poisoning, and other external causes. Character A denotes initial encounter, character D denotes a subsequent encounter or recovery phase, and character S denotes sequela and is used when there are complications or conditions that arise as a direct result of a condition.¹

Osteoporosis is a systemic condition that does not have a site component for coding purposes. A code from category M81 should be used for patients with osteoporosis, without a current pathological fracture at the time of the encounter. For patients with osteoporosis and a current pathological fracture, a code from category M80 should be reported. The site codes under category M80 identifying the site of the pathological fracture, not the osteoporosis. A traumatic fracture code should not be used when a patient with known osteoporosis suffers a fracture from a minor fall or trauma that would not usually break a normal, healthy bone, a pathological fracture code should be used instead.²

For conditions where one bone, joint, or muscle is involved, such as osteoarthritis, there is a multiple sites code available. Any time a condition affects more than one anatomic site, for example hand and ankle, and a multiple sites option is available within the category, assign the designated multiple sites code.

When a condition affects a single site, bilaterally, for example right and left hands, assign each code independently rather than assigning a code for multiple sites. A code for multiple sites should be reserved for instances where the condition affects more than one anatomic location.

Traumatic and Pathological Fractures	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
M80.-	Osteoporosis with current pathological fracture
M81.-	Osteoporosis without current pathological fracture
M84.5-	Pathological fracture in neoplastic disease
S00.- through S39.-	Injuries to the head and neck, thorax, abdomen, lower back, lumbar spine, pelvis, and external genitals
S40.- through S69.-	Injuries to the upper extremity (shoulder and upper arm, elbow and forearm, wrist, hand, and fingers)
S70.- through S79.-	Injuries to the lower extremity (hip and thigh, knee and lower leg, ankle, and foot)

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient on chemotherapy for right breast cancer with bone metastasis came in complaining of severe pain and inability to bear weight on the right lower extremity. Imaging studies revealed a pathological fracture in the right femur at the site of a metastatic lesion. She was given analgesics for pain control. Her oncologist was notified, and an orthopedic surgery consultation was requested.

Coding for scenario 1: Pathological fracture in neoplastic disease, right femur, initial encounter for fracture **M84.551A**, Malignant neoplasm of unspecified site of right female breast **C50.911**, Secondary malignant neoplasm of bone **C79.51**

Documentation scenario 2: Patient is in the office for follow-up on non-healing fracture. They sustained a closed traumatic fracture of the left tibial shaft 6 months ago and the most recent imaging studies confirmed non-union of the fracture. The patient indicated their pain is well controlled with medications and they have an upcoming appointment with orthopedic surgery to explore surgical treatment options.

Coding for scenario 2: Unspecified fracture of shaft of left tibia, subsequent encounter for closed fracture with nonunion **S82.202K**

1 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.19.a Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)
 2 ICD-10-CM Official Guidelines for Coding and Reporting, Section I.C.13.c Retrieved May 2021 from: [cdc.gov/nchs/icd/index.htm](https://www.cdc.gov/nchs/icd/index.htm)

Chronic Kidney Disease (CKD)

Documentation Guidance

When documenting CKD, include:

- Stage of CKD based on estimated glomerular filtration rate (eGFR)
- Underlying cause, if known, such as diabetes mellitus (DM) or hypertension
- Presence of arterio-venous (AV) shunt or other form of intravenous access for dialysis
- Whether the patient is on dialysis and if non-compliant with dialysis
- History of kidney transplant, if applicable

Although kidney function can improve or worsen over time, providers should avoid documenting multiple stages of CKD in the same encounter. The stage of CKD documented should reflect the patient's kidney function at the time of that encounter to the best of the provider's knowledge.

Once a patient receives a kidney transplant, their end stage renal disease (ESRD) is considered cured and should no longer be documented. Status-post kidney transplant should be documented. If the patient has any residual CKD after the transplant, this should be documented with the current stage.

Coding Guidance

ICD-10-CM classifies the severity of CKD into stages 1 through 5, and (ESRD) based on estimated glomerular filtration rate (eGFR) values and dialysis treatment. The stage of CKD must be explicitly stated in the record to ensure accurate code selection. Code selection cannot be assigned based on documented eGFR.

Stage	Loss of Kidney Function	eGFR	ICD-10-CM Code
1	Normal to slightly decreased	≥ 90	N18.1
2	Mild	60-89	N18.2
3a	Mild to Moderate	44-59	N18.31
3b	Moderate to Severe	30-44	N18.32
4	Severe	15-29	N18.4
5	Kidney Failure not requiring dialysis	< 15	N18.5
6	End stage renal disease requiring dialysis	< 15	N18.6

ICD-10-CM assumes a cause-and-effect relationship between CKD and DM and between CKD and hypertension. Assign the appropriate combination code when these diagnoses coexist unless the documentation states one or the other as the underlying cause, or a different underlying cause is documented.

Per American Hospital Association (AHA) *Coding Clinic*, if a patient has diabetes, hypertension, and CKD, and the provider documents CKD due to diabetes, assign a code for the diabetic CKD. Do not assign a code for hypertensive CKD, the hypertension would be coded separately.¹

Patients who have had a kidney transplant may still have some degree of CKD, therefore having CKD

post-transplant may not constitute a complication of the transplant. Per ICD-10-CM guidelines, assign the appropriate N18 code for the patient's CKD stage and code Z94.0, Kidney transplant status. If a complication of the transplant such as failure or rejection occurs, assign a code from category T86.1-

Code Z99.2, Dependence on renal dialysis, contains the inclusion phrase of presence of AV shunt for dialysis. Therefore, Z99.2 should be reported when the AV fistula is being used for active dialysis per *AHA Coding Clinic*.²

Chronic Kidney Disease	
(Note: A dash (-) indicates that additional character(s) are required for valid code assignment.)	
ICD-10-CM Code	Diagnosis Code Description
E08.22, E09.22, E10.22, E11.22, E13.22	DM with diabetic chronic kidney disease
I12.-	Hypertensive chronic kidney disease
I13.-	Hypertensive heart and chronic kidney disease
T86.1-	Complications of kidney transplant
Z91.15	Patient's noncompliance with renal dialysis
Z94.0	Kidney transplant status
Z99.2	Dependence on renal dialysis

Documentation and Coding Scenarios (For Illustrative Purposes Only)

Documentation scenario 1: Patient with type II diabetes and CKD stage 3b due to hypertension came in for follow-up visit. Blood pressure is controlled and eGFR is stable at 41 mL/min based on most recent labs.

Coding for scenario 1: Hypertensive chronic kidney disease with stage 1 through stage 4 chronic kidney disease, or unspecified chronic kidney disease **I12.9**, Chronic kidney disease, stage 3b **N18.32**

Documentation scenario 2: Patient with ESRD, on regular hemodialysis 3 times a week, came into the office complaining of generalized weakness. Their lab results showed a low hemoglobin confirming anemia due to ESRD. They were referred to be evaluated for treatment with erythropoietin injections.

Coding for scenario 2: End stage renal disease **N18.6**, Anemia in chronic kidney disease **D63.1**, Dependence on renal dialysis **Z99.2**

1 American Hospital Association (AHA) Coding Clinic, 3Q 2019 page 3
 2 American Hospital Association (AHA) Coding Clinic, 2Q 2013 page 6

Appendix

Appendix 1: Covered and Non-Covered Hospital Outpatient and Inpatient Facilities

Table 3C — Hospital Outpatient

Provider Type	Covered Facilities	Non-Covered Facilities*
Hospital Outpatient	<ul style="list-style-type: none"> • Short-term (general and specialty) Hospitals • Medical Assistance Facilities/Critical Access Hospitals • Community Mental Health Centers** • Federally Qualified Health Centers/Religious Non-Medical Health Care Institutions (formerly Christian Science Sanatoria)** • Long-term Hospitals • Rehabilitation Hospitals • Children’s Hospitals • Psychiatric Hospitals • Rural Health Clinic (Free-standing and Provider-Based) ** 	<ul style="list-style-type: none"> • Free-standing Ambulatory Surgical Centers (ASCs) • Home Health Care • Free-standing Renal Dialysis Facilities
	Non-Covered Services	
	<ul style="list-style-type: none"> • Laboratory Services • Ambulance • Durable Medical Equipment • Prosthetics 	<ul style="list-style-type: none"> • Orthotics • Supplies • Radiology services

* These are examples of non-covered facilities and are not to be considered a comprehensive list.

** Facilities use a composite bill that covers both the physician and the facility component of the services, and services rendered in these facilities do not result in an independent physician claim.

Table 3B — Hospital Inpatient

Provider Type	Covered Facilities	Non-covered Facilities*
Hospital Inpatient	<ul style="list-style-type: none"> • Short-term (general and specialty) Hospitals • Religious Non-Medical Health Care Institutions (formerly Christian Science Sanatoria)** • Long-term Hospitals • Rehabilitation Hospitals • Children’s Hospitals • Psychiatric Hospitals • Medical Assistance Facilities/Critical Access Hospitals 	<ul style="list-style-type: none"> • Skilled Nursing Facilities (SNFs) • Hospital Inpatient Swing Bed Components • Intermediate Care Facilities • Respite Care • Hospice

* These are examples of non-covered facilities and are not to be considered a comprehensive list.

Source: 2008 Risk Adjustment Data Technical Assistance for Medicare Advantage Organizations Participant Guide. Retrieved April 2021 from archives: www.cssoperations.com

This publication contains proprietary information. It is intended to be used as informational by individuals participating in our Medicare Advantage plans. Reference the ICD-10-CM codebook, CMS-HCC Risk Adjustment Model, and American Hospital Association Coding Clinic for complete code sets and official coding guidance. We do not guarantee that the information supplied is without defect. Any redistribution or other use is strictly forbidden.

Appendix 2: Acceptable Provider Specialty Types for 2021 Payment Year (2020 Dates of Service)

Code	Specialty	Code	Specialty	Code	Specialty
1	General Practice	29	Pulmonary Disease	81	Critical care (intensivists)
2	General Surgery	33*	Thoracic Surgery	82	Hematology
3	Allergy/Immunology	34	Urology	83	Hematology/Oncology
4	Otolaryngology	35	Chiropractic	84	Preventive Medicine
5	Anesthesiology	36	Nuclear Medicine	85	Maxillofacial Surgery
6	Cardiology	37	Pediatric Medicine	86	Neuropsychiatry
7	Dermatology	38	Geriatric Medicine	89*	Certified Clinical Nurse Specialist
8	Family Practice	39	Nephrology	90	Medical Oncology
9	Interventional Pain Management (IPM)	40	Hand Surgery	91	Surgical Oncology
10	Gastroenterology	41	Optometry	92	Radiation Oncology
11	Internal Medicine	42	Certified Nurse Midwife	93	Emergency Medicine
12	Osteopathic Manipulative Medicine	43	Certified Registered Nurse Anesthetist	94	Interventional Radiology
13	Neurology	44	Infectious Disease	97*	Physician Assistant
14	Neurosurgery	46*	Endocrinology	98	Gynecologist/Oncologist
15	Speech Language Pathologist	48*	Podiatry	99	Unknown Physician Specialty
16	Obstetrics/Gynecology	50*	Nurse Practitioner	C0	Sleep Medicine
17	Hospice And Palliative Care	62*	Psychologist	C3	Interventional Cardiology
18	Ophthalmology	64*	Audiologist	C5	Dentist
19	Oral Surgery (dentists only)	65	Physical Therapist	C6	Hospitalist
20	Orthopedic Surgery	66	Rheumatology	C7	Advanced Heart Failure And Transplant Cardiology
21	Cardiac Electrophysiology	67	Occupational Therapist	C8	Medical Toxicology
22	Pathology	68	Clinical Psychologist	C9	Hematopoietic Cell Transplantation And
23	Sports Medicine	72*	Pain Management	D3*	Medical Genetics and Genomics
24	Plastic And Reconstructive Surgery	76*	Peripheral Vascular Disease	D4	Undersea and Hyperbaric Medicine
25	Physical Medicine And Rehabilitation	77	Vascular Surgery	D5	Opioid Treatment Program
26	Psychiatry	78	Cardiac Surgery	D7*	Micrographic Dermatologic Surgery (MDS)
27	Geriatric Psychiatry	79	Addiction Medicine	D8	Adult Congenital Heart Disease (ACHD)
28	Colorectal Surgery (formerly Proctology)	80	Licensed Clinical Social Worker		

* Indicates that a number has been skipped.

Source: Customer Service and Support Center (CSSC) Operations (2021) Acceptable Provider Specialty Types (for 2020 dates of service). Retrieved April 2021 from: www.csscoperations.com